

## **MODERN SLAVERY AND HUMAN TRAFFICKING STATEMENT – FINANCIAL YEAR 2018**

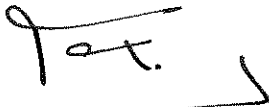
This Statement is being published in accordance with section 54 of the Modern Slavery Act 2015 and constitutes our slavery and human trafficking statement for the financial year ending 31 December 2018.

R.J. O'Brien is the oldest and largest independent futures broker in the United States. We have extensive expertise in the industry and our longevity and success is attributed to our continual focus on three guiding principles – family, stewardship and integrity. R.J. O'Brien Limited is a multi-asset broker and clearer in London that offers electronic and high touch voice brokerage services on futures and options, CFDs and equities. As an international firm, our key assets are our people and our service to our clients.

R.J. O'Brien is committed to ensuring that modern slavery does not take place anywhere within our business. We have a culture of respect for individuals in which slavery and human trafficking has no place. R.J. O'Brien has an established Code of Conduct which defines the high standards of ethics and business conduct that we expect from our employees. Through our whistleblowing processes, all employees are encouraged to report any concerns related to activities or the supply chains of R.J. O'Brien, including any circumstances that may give rise to an enhanced risk of slavery or human trafficking.

We are committed to preventing acts of modern slavery and human trafficking within our business and we want our supply chain to support a zero tolerance approach to modern slavery. To achieve this goal, we aim to do business only with counterparties and clients who respect the human rights in all their business activities and prohibit the use of forced labour.

Signed by:

A handwritten signature in black ink, appearing to read 'T. Texier', with a long horizontal line extending to the right from the end of the signature.

Thomas Texier  
Managing Director  
R.J. O'Brien Limited