

## KYC DOCUMENTATION

*Please provide the following documents that correspond to the application account type.*

Account Type/Detail	Required Documents
Corporate Accounts	<ul style="list-style-type: none"> <li>• Memorandum &amp; Articles of Association/ Statutes/ By-Laws</li> <li>• Certificate of Incumbency and/or Certificate of Good Standing List of Company Directors</li> <li>• Share Certificates (optional)</li> <li>• List of officers and authorized signatories, including their name and title</li> <li>• Recent extract from the Companies Share Register</li> <li>• Current financial statements</li> <li>• Nominee Agreement and Certificate of Incorporation, if applicable</li> <li>• Evidence of founder and foundation class from foundation council if not already in Statutes or By-Laws, if applicable</li> <li>• Governing Document for Society/Club/Association, if applicable</li> <li>• Identification<sup>1</sup> and address<sup>2</sup> for beneficial owners and equity owners of 25% or more of the applying, or underlying entity and other related parties<sup>3</sup>, including Attorney, if applicable</li> </ul>
Partnership Accounts	<ul style="list-style-type: none"> <li>• Full partnership agreement (GP and LP, if applicable)</li> <li>• Registered address/Certification of Incorporation/Partners or equivalent</li> <li>• List of authorized signatories</li> <li>• Current financial statements</li> <li>• Nominee Agreement and Certificate of Incorporation, if applicable</li> <li>• Evidence of founder and foundation class from foundation council if not already in Statutes or By-Laws, if applicable</li> <li>• Governing Document for Society/Club/Association, if applicable</li> <li>• If General Partner is an entity such as:               <ul style="list-style-type: none"> <li>○ Corporation - see documents under "Corporate Accounts"</li> <li>○ Trust – see documents under "Trust Accounts"</li> </ul> </li> <li>• Identification<sup>1</sup> and address<sup>2</sup> for beneficial owners and all partners of the applying or underlying entity and other related parties<sup>3</sup>, including Attorney, if applicable</li> </ul>
Trust Accounts	<ul style="list-style-type: none"> <li>• Complete trust deed, including any amendments</li> <li>• Deed of appointment of the Member, if applicable</li> <li>• Statement authorising opening of the account</li> <li>• Current financial statement<sup>2</sup></li> <li>• Nominee Agreement and Certificate of Incorporation, if applicable</li> <li>• Evidence of founder and foundation class from foundation council if not already in Statutes or By-Laws, if applicable</li> <li>• Governing Document for Society/Club/Association, if applicable</li> <li>• If Trustee is an entity such as:               <ul style="list-style-type: none"> <li>○ Corporation - see documents under "Corporate Accounts"</li> <li>○ Partnership – see documents under "Partnership Accounts"</li> </ul> </li> <li>• Identification<sup>1</sup> and address<sup>2</sup> for all trustees, beneficiaries, settlors and other related parties<sup>3</sup>, including Attorney, if applicable</li> </ul>
Funds	<ul style="list-style-type: none"> <li>• Offering Memorandum</li> <li>• Prospectus</li> <li>• NAV Statement or Financial Statements</li> <li>• KIID (Key Investor Information Document), if applicable</li> </ul>

### Notes

1. One of: Current signed passport, photo card driving license, valid national identity card, Inland Revenue tax notification or firearms certification
2. One of: Recent (within 3 months) utility bill (not mobile phone), valid national identity card, local authority tax bill, bank, building society statement or passbook or mortgage statement
3. Beneficial owners/related parties are individuals who i) own, directly or indirectly, 25 percent or more of the equity interests of the legal entity customer (e.g., each natural person that owns 25 percent or more of the shares of a corporation); and/or ii) authorized signatories and/or iii) Directors for Corporates; Trustees, Settlers, Protectors (if any) and Beneficiaries for Trusts.